

We want to define a cohomology theory s.t.

- cup products $H^i \times H^j \rightarrow H^{i+j}$
- Poincaré duality $H^i \times H^{2n-i} \rightarrow \mathbb{Z}(-n)$
- Lefschetz fixed point / trace Formula
- comparison theorem: $X/\mathbb{C} \text{ char } \mathbb{C} = 0$
 $H_{\text{sing}}^i(X) \otimes \mathbb{C} \cong H^i(X) \otimes_{\mathbb{Z}} \mathbb{C}$

attempt 1: let $V/\mathbb{C}$ a variety
 $H_{\text{sing}}^i(V, \mathbb{Z})$

attempt 2: $H^i(V, \underline{\mathbb{Z}}) \xrightarrow{\Delta} \text{constant}$
 $\rightarrow$ also zero

if V is irreducible $\Rightarrow$ any open is connected
hence $\underline{\mathbb{Z}}$ is flasque

Problem: too few opens / opens are too big

how to fix this?

- add more opens
- opens need not be subsets

$U \hookrightarrow X$ not necessarily
 injective

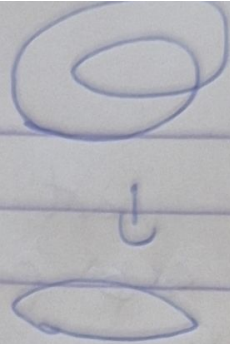
Define a 'Grothendieck topology' on X where the
 opens are étale maps $U \rightarrow X$
 this will form a category $(U \rightarrow U')$
 which will replace open(X). $\begin{matrix} U & \xrightarrow{\circ} & U' \\ & \searrow & \swarrow \\ & X & \end{matrix}$

étale maps are algebraic analogues
 of $\begin{matrix} \bullet \text{ unramified} \\ \bullet \text{ covering maps of varieties } X/\mathbb{C} \\ \bullet \text{ local diffeomorphisms of manifolds} \end{matrix}$

ex

$$\mathbb{C}^x \rightarrow \mathbb{C}^x$$

$$x \mapsto x^n$$



ex

covering maps

th if X is a variety / $\mathbb{C}$ then for any ~~state~~ local diffeomorphism

there exists an open $V \subset X$ such that

$$(V, \mathcal{O}_V) \subseteq (X, \mathcal{O}_X) \text{ factors}$$

$$\downarrow \quad \uparrow$$

$$(U, \mathcal{O}_U)$$

which will give a map $H_{\text{et}}^i(X, \Lambda) \rightarrow H_{\text{sing}}^i(X, \Lambda)$ of X

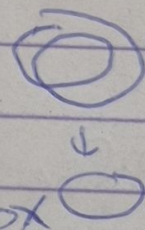
every "etale covering" $(U, \mathcal{O}_U) \rightarrow (X, \mathcal{O}_X)$ can be refined to a comp covering in the complex topology.

let's try: local diffeomorph isomorphism?

$$\mathbb{C}^x \rightarrow \mathbb{C}^x$$

$$z \mapsto z^n$$

Zariski topology



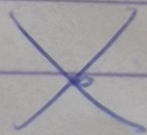
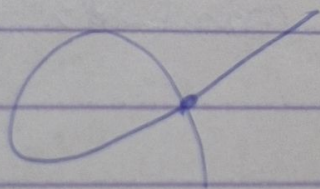
an isomorphism in no open of $\mathbb{C}^x$

$f: X \rightarrow Y$

an a local isomorphism of schemes induces a isomorphisms on the local rings

$$\mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$$

"local rings see too much"



local rings are different

but

the completions of the local rings are the same / tangent cones / tangent spaces

when X, Y are varieties over an algebraically closed field: we say $f: X \rightarrow Y$ is étale if the induced maps on the completion local rings are isomorphisms / equivalently the maps on the tangent cones are iso if X, Y non-singular: maps on the tangent spaces

$$\mathbb{C}^x \rightarrow \mathbb{C}^x \quad \left(\frac{dX^n}{dX} \right) = (nX^{n-1}) \neq 0$$

$$\mathbb{Z} \rightarrow \mathbb{Z}^n$$

"smooth of relative dimension 0"

def A map $X \xrightarrow{f} Y$ of schemes is étale if it is (locally) of finite type flat and unramified

Flat $\hookrightarrow$ recall: $A \rightarrow B$ is flat if B is flat as A -module $M \mapsto B \otimes_A M$ is exact. if A is I.D. then $A \rightarrow A$ is injective $x \mapsto ax$ and hence $B \rightarrow B$ is also injective $x \mapsto ax$ this implies $A \rightarrow B$ is injective If A is Dedekind domain, then $A \rightarrow B$ injective $\Rightarrow A \rightarrow B$ is flat

now it suffices to check $A_{\mathfrak{p}} \rightarrow B_{\mathfrak{p}}$ is flat for all maximal ideals of B

A morphism of schemes $\varphi: X \rightarrow Y$ is flat if $\mathcal{O}_{X, \varphi^{-1}(x)} \rightarrow \mathcal{O}_{X, x}$ are flat

WLOG $\times$ (suffices to check closed pts)

flat $\Leftrightarrow$ continuous family of varieties parametrised by $x \in X$ $X_x \rightarrow \{x\}$

If φ is flat then
 $\dim Y_x = \dim Y \rightarrow \dim X$

moreover a finite morphism is flat
if the fibers have the same amount of pts

unramified a local hom $f: A \rightarrow B$ is unramified
if $B/f(m_A)B$ is a finite separable
extension of A/m_A

$\Leftrightarrow$ • $f(m_A)B = m_B$
• B/m_B is finite separable over A/m_A

a morphism of schemes is unramified if
it is finite type & the local homomorphism
are unramified (suffices to check closed pts)

$\Leftrightarrow$ $f: X \rightarrow Y$ of finite type & $\Omega'_{X/Y} = 0$

ex $A \xrightarrow{f} B$ of rings is étale if
 $\text{Spec } B \rightarrow \text{Spec } A$ is étale $\Leftrightarrow$

- B is f.g. A -alg
- B is flat & f is flat
- for all maximal ideals $\mathfrak{m} \in B$,
 $B_{\mathfrak{m}}/f(\mathfrak{p})B_{\mathfrak{m}}$ is finite separable
over $A_{\mathfrak{p}}/\mathfrak{p}A_{\mathfrak{p}}$, $\mathfrak{p} = f^{-1}(\mathfrak{m})$

ex $f: Y \rightarrow X$, X, Y varieties / k
is étale $\Leftrightarrow$ all local homomorphisms on
the completed local rings are iso
 $\nLeftarrow$ easy: $\hat{A} \rightarrow \hat{B}$ is étale, then
 $A \rightarrow B$ is étale

ex $\mathbb{C} \xrightarrow{\exp} \mathbb{C}^\times$ not étale (not algebraic)

ex $f: X \rightarrow \text{spec } k$

since f is finite type, X is affine

since f is unramified, X has dimension 0

A is a unramified f.g. k -algebra

$\downarrow$
 $A = \prod_i k_i$
 of separable field extensions

ex $\mathcal{O}_{K,S} \rightarrow \mathcal{O}_{L,S}$ K a number field
 S -integers $\mathcal{O}_{K,S}$ then the étale coverings
 are

$\mathcal{O}_{L,S} \rightarrow \mathcal{O}_{K,S}$
 with $\mathcal{O}_L/\mathcal{O}_K$ with L/K separable unramified outside S
 $\mathcal{O}_{L,S}$ the integral closure of $\mathcal{O}_{K,S}$ in L
 and $\mathcal{O}_{L,S}/\mathcal{O}_{K,S}$ unramified outside S .

ex standard étale: if A ring $f \in A[t]$ monic
 $b \in A[t]/(f)$ s.t. f' is invertible in
 $A[t]/(f) \cong A[t]/(f)$ then

$A \rightarrow A[t]/(f)$ is standard étale
 any étale map $X \rightarrow Y$ is ~~covered~~ locally
 standard étale. (see notes)

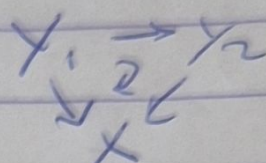
other characterisation of étale maps:

locally
 of finite
 type + $\begin{array}{ccc} \text{Spec}(C/N) & \rightarrow & Y \\ \downarrow \text{f.l.} & & \downarrow \\ \text{Spec } C & \rightarrow & X \end{array}$ eg. $N^2 = 0$
 eg. $C = k[\epsilon]/(\epsilon^2)$

$f \geq 1$: formally smooth
 $f \leq 1$: formally unramified
 $f = 1$: formally étale

properties of étale maps

- a) open immersions are étale
- b) ϕ, ψ étale $\Rightarrow \phi \circ \psi$ étale
- c) base changes remain étale
- d) $\phi \circ \psi$ étale $\Rightarrow \psi$ étale



$$Y_1 \rightarrow X \text{ étale} \Rightarrow Y_2 \rightarrow X \text{ étale}$$

$$\varphi: Y \rightarrow X \text{ étale} \quad \varphi(y) = x$$

prop

- a) $\dim_{\mathbb{A}^1} \mathcal{O}_{Y,y} = \dim \mathcal{O}_{X,x}$
- b) φ is quasi-finite
- c) φ is open
- d) X reduced $\Rightarrow Y$ reduced
- e) X normal $\Rightarrow Y$ normal
- f) X regular $\Rightarrow Y$ regular

Fundamental group

Recall: f is a covering map $f: Y \rightarrow X$
 is a map such that $\forall x \in X$
 $\exists$ an nbhd U of x s.t. $f^{-1}(U) \cong \coprod_i U_i$ $U_i \xrightarrow{\sim} U$

IF X is "nice" (i.e. pathwise connected and semi-locally simply connected)
 then X has a universal cover
 $\tilde{X} \rightarrow X$ s.t. $\pi_1(\tilde{X}) = 1$
 It is universal in the sense that

$$\begin{array}{ccc} & \tilde{X} & \\ \downarrow & & \downarrow \\ (X, y) & \rightarrow & (X, x) \end{array}$$

Consider $\text{Aut}_x(\tilde{X}) = \{ \text{covering maps } \tilde{X} \rightarrow \tilde{X} \text{ covering } x \}$

there is a map $\text{Aut}_x(\tilde{X}) \rightarrow \pi_1(X, x)$
 $\varphi \mapsto \pi_1(\text{path from } \tilde{x} \rightarrow \varphi \tilde{x})$
 conversely $\pi_1(X, x)$ acts on $\tilde{X}$, which gives the reverse map.

define $F: \text{Cov}(X) \rightarrow \text{Set}$

$$(\pi: Y \rightarrow X) \mapsto \pi^{-1}(x)$$

this functor is representable by $\tilde{X}$ is
 $F(Y) \cong \text{Hom}_X(\tilde{X}, Y)$ functorially in Y .

If we let $\pi_1(X, x) / \text{Aut}_x(\tilde{X})$ act on $\text{Hom}_X(\tilde{X}, Y)$
 on the left this becomes an auto equivalence
 of categories $\text{Cov}(X) \rightarrow \pi_1(X, x) \text{ sets with finitely many orbits}$

étale fundamental groups

Finite covering maps $\longleftrightarrow$ finite étale maps

- $\rightarrow$ finite étale $\pi: Y \rightarrow X$ is closed & surjective
- $\rightarrow$ if X variety / alg. closed, each fiber has the same amount of pts. and each $x \in X$ has a nbd $(U, u) \rightarrow (X, x)$ s.t.
 $Y \times_X U$ is disjoint union of
ob. open subvarieties mapped isomorphically onto
 U by $\pi \times 1$

Def Consider $\underline{Fet}/X$ the category of finite étale maps $\rightarrow X$. Choose a geometric pt

$\tilde{x}: \text{Spec}(k) \rightarrow X$, k separably closed field

Define $F: \underline{Fet}/X \rightarrow \text{Sets}$ sending
 $(\pi: Y \rightarrow X) \rightarrow \text{Hom}_X(\tilde{x}, Y)$
"set of $\tilde{x}$ valued pts of Y lying over x "

if X is a variety over an algebraically closed field then $\text{Hom}_X(\tilde{x}, Y) = \pi^{-1}(x)$

We cannot define a universal covering

ex there is no biggest covering of $A^1 - \{0\}$

$$A^1 - \{0\} \rightarrow A^1 - \{0\}$$

$$x \mapsto x^n$$

there is no biggest $\leadsto (\exp: \mathbb{C} \rightarrow \mathbb{C} - \{0\})$

$\leadsto F$ is not representable

So we will take limits

There is a projective system
 $\tilde{X} = (X_i)_{i \in I}$ with I a directed set
 such that

$$F(Y) = \text{Hom}(\tilde{X}, Y) := \varprojlim_{i \in I} \text{Hom}(X_i, Y)$$

Functorially in $Y \Rightarrow F$ is pro-representable

We call $\tilde{X}$ the universal covering space
 of X if X_i has degree over X equal to
 the order of $\text{Aut}_X(X_i)$. $\Rightarrow X_i$ is Galois over X

If $i \leq j$ there is a map $X_j \rightarrow X_i$ induces a
 homomorphism $\text{Aut}_X(X_j) \rightarrow \text{Aut}_X(X_i)$, so define

$$\pi_1(X, \tilde{X}) = \text{Aut}_X(\tilde{X}) := \varprojlim_i \text{Aut}_X(X_i)$$

ex $X_n = \mathbb{A}^1 - \{0\} \rightarrow \mathbb{A}^1 - \{0\}$

$$\mathbb{A}^1 - \{0\} = X_n \rightarrow \mathbb{A}^1 - \{0\}$$

$$t \mapsto t^n$$

then $\text{Aut}_{\mathbb{A}^1 - \{0\}}(X_n) = \mu_n(k)$

thus $\pi_1(\mathbb{A}^1 - \{0\}, \tilde{X}) = \varprojlim_{n \in \mathbb{N}} \mu_n(k) \cong \frac{1}{k} = \pi_1 \mathbb{Z}_p$

Thm $Y \mapsto F(Y)$ defines an equivalence from category of
 finite étale coverings of X to category
 of finite discrete $\pi_1(X, \tilde{X})$ -sets.

variety over $\mathbb{C} \rightsquigarrow$ Riemann existence theorem

finite étale coverings $\rightarrow$ finite covering spaces
 is an equivalence of categories

$$\pi_1(X, \tilde{X}) = \varprojlim_{i \in I} \text{Aut}_X(X_i) = \varprojlim_{i \in I} \text{Aut}_{X(\mathbb{C})}(X_i(\mathbb{C}))$$

ex $\pi_1(\mathbb{C} - \{0\}) = \mathbb{Z} = \pi_1(X(\mathbb{C}), \tilde{X})$